From: Bill Rooney

To: <u>Schuerman, Peter L.</u>

Date:Friday, December 12, 2008 8:55:40 AMAttachments:CPBR Preproposal - Composition.doc

Dr. William L. Rooney Professor, Sorghum Breeding and Genetics Chair, Plant Release Committee Texas A&M University College Station, Texas 77843-2474 979 845 2151
 From:
 Bill Rooney

 To:
 Schuerman, Peter L.

 Subject:
 RE: sorghum FTO

Date: Friday, December 12, 2008 8:18:00 AM

Attachments: RFP 2010.pdf

Here is the RFP. You'll need to refer to documents in Appendix 2, if I remember correctly.

Regards,

Bill

Dr. William L. Rooney Professor, Sorghum Breeding and Genetics Chair, Plant Release Committee Texas A&M University College Station, Texas 77843-2474 979 845 2151

----Original Message-----

From: Schuerman, Peter L. [mailto:PSchuerman@tamu.edu]

Sent: Friday, December 12, 2008 7:49 AM

To: Bill Rooney

Subject: RE: sorghum FTO

Yes, let's set a meeting re:USDA. Early January work for you?

If the IP rights in question are those that would be developed during the research, I would mark the rights as available.

Is that what is referred to?

Peter Schuerman Sent from 979.571.1816

----Original Message-----

From: "Bill Rooney" <wlr@tamu.edu>

To: "Schuerman, Peter L." < PSchuerman@tamu.edu>

Sent: 12/12/08 6:43 AM Subject: RE: sorghum FTO

Peter:

Based on your interpretation, we CAN conduct cooperative research with the USDA BECAUSE we are not granting rights to any person or entity in that situation. Is that correct?

Before we get the seed (which I will start the process in January), we should inform Ceres of our plans. Do we need to sit down and visit with USDA as well? What happens if we jointly conduct the research and then USDA wants to license this material to a third party? Now, USDA has not typically been a big licensing group, but then again we weren't either until about 2 years ago.....

Second question, I'm preparing a preproposal for the Council of Plant

Biotechnology Research (at the prompting of Ceres) for additional funds to promote the bioenergy research. While this pre proposal is a formality (Ceres is a commercial member of CPBR and they will mark as high priority that will get it to the next stage). However, on the coverpage, it asks if the IP rights are available. How do I mark that box? Clearly the rights are not available to everyone, but they are available to a member of the CPBR. So, I'm guessing that the may be available box is correct. What do you think? I've got to submit this by noon.

regards,

bill

Dr. William L. Rooney Professor, Sorghum Breeding and Genetics Chair, Plant Release Committee Texas A&M University College Station, Texas 77843-2474 979 845 2151

----Original Message-----

From: Schuerman, Peter L. [mailto:PSchuerman@tamu.edu]

Sent: Thursday, December 11, 2008 2:02 PM

To: Bill Rooney

Cc: Schuerman, Peter L. Subject: sorghum FTO

I reviewed the Ceres agreements (SRA, IP Rights Agreement).

In the SRA, paragraph 1.M: TAES agrees not to conduct Germplasm Improvement (as defined in Article 8.A.2) under a funding or collaboration agreement which grants rights to any person or entity other than CERES in Biomass/Bioenergy Sorghum (as defined in Article 8.A.2) during the term of this Agreement.

From 8.A.2: "Germplasm Improvement" shall mean any activities to improve sorghum to produce Biomass/Bioenergy Sorghum, including without limitation selection, breeding, marker development or marker assisted breeding or transgenic improvement. "Biomass/Bioenergy Sorghum" shall mean sorghum which has been bred for the purpose of conversion to fuels or energy, including but not limited, bred to produce higher biomass yields, higher yields of cellulose, higher yields of sugar, other improved composition, improved agronomics, improved net energy balance, improved energy density or which has been bred to make its cellulose or sugars more available to conversion or more efficiently converted. Biomass/Bioenergy Sorghum shall not include (i) sorghum which has been improved through breeding for increased starch yields, including but not limited to grain sorghums, even where such sorghum must necessarily produce increased yields of sugar to achieve increased starch content or (ii) sorghum which has been improved through breeding for a purpose that does not include conversion to fuels or energy, including but not limited to the purposes of sugar production, silage, forage, grain or other traditional uses for sorghum. TAES will notify CERES in advance in writing of any contemplated projects under (i) or (ii) which would result in the grant of rights by TAES or

TAMUS to a for-profit party, and at CERES' request discuss such project with CERES, subject to any applicable confidentiality provisions.

This means that if we plan to undertake research with a commercial sponsor to improve sorghum (selection, breeding, market development, marker assisted breeding or transgenic improvement), check in with OTC to review the matter.

In your case, since there is no commercial funding, this is fine. But I would ask you to keep me involved to the extent that anyone outside AgriLife (i.e. USDA) is involved so I can review agreement language.

Peter L. Schuerman, Ph.D. Sr. Licensing Manager

Texas A&M University System http://otc.tamu.edu

Office of Technology Commercialization 979.845.0907

From: Walter Nelson

To: Mullet, John E.; Bill Rooney; Patricia Klein; Juerg M Blumenthal; Edgar Haro; Jeff Gwyn; Timothy Swaller; John

Bouck; Bud Wylie

Cc: Simpson, Shay; Avant, Bob; McCutchen, Bill; Schuerman, Peter L.; Peter Mascia; Richard Flavell; Michael

Stephenson; Kimberly Norton

Subject: Draft Meeting agenda for Ceres - Texas A&M quarterly

Date: Friday, December 05, 2008 4:25:43 PM

Attachments: Ceres TAMU 2008Q4 Quarterly Agenda draft.doc

Hello Everyone,

Attached is a draft agenda for our meeting on Tuesday. Please take a look at it and let me know if you'd like to make any adjustments.

The conference number we will use is: 1 866 339 1399 (toll free) 1 334 323 1808 (International) MEETING NUMBER: *9042546*

Shay, please forward to anyone on the A&M side that I missed.

Looking forward to seeing everyone here next week.

Best regards,

Walter

Walter E Nelson Ceres, Inc. 1535 Rancho Conejo Blvd. Thousand Oaks, CA 91320 voice: (805)376-6548 www.ceres.net

-----Original Message-----

From: John Mullet [mailto:jmullet@tamu.edu] Sent: Thursday, December 04, 2008 9:58 AM

To: Walter Nelson Cc: Shay Simpson Subject: Meeting agenda

Hi Walter,

I introduced a new topic last meeting; Bioenergy Sorghum Growth Cycle Analysis. I would like to follow up on this topic this meeting and then transition into a progress report on the trait analysis studies my group has been doing (etc.). I think this topic will fit right after Bill Rooney's discussion of breeding progress. A suggested flow of topics is provided below for your consideration.

- 1. Update on bioenergy sorghum breeding: Bill Rooney.
- High biomass sorghum
- Sweet sorghum
- Composition analysis

- Budget review
- 2. Bioenergy sorghum growth study and related trait mapping/analysis: John Mullet
- Sorghum growth study (20 slides)
- Sorghum trait mapping (update, composition traits) (6 slides)
- Budget review
- 3. Genotyping/MAB/Genome platform: Trish Klein
- MAB
- PS bioenergy sorghum diversity analysis
- Genotyping technology platform update (30 slides) Genome platform
- Trait mapping
- Budget review.
- 4. Agronomy project: Juerg Blumenthal
- 5. New Topics:
- Wide hybridization BIII Rooney
- Germplasm mining John Mullet
- etc.

Thanks,

John

From: <u>alex junior</u>

To: SAGO-Recipients of MTA@tamu.edu; Brummett, Robert G.
Cc: Bill Rooney; Hurley, Janie C.; Schuerman, Peter L.; Patrick Okori
Subject: RE: Waxy maize and sorghum germplasm (Materials request form)

Date: Tuesday, November 18, 2008 1:26:02 AM

Attachments: Material Request Form r1-2.doc

Dear Robert,

Find attached the completed materials request form. Section 2 of the form on organisation signitory can't be viewed in its entirety so i havent had it filled. Please clarify what it reads in full so i can provide the answer to that section.

Thank you for your time and help.

Regards,
Bombom Alexander
PhD Candidate
Department of Crop Science
Makerere University
P.O Box 7062
Wandegeya, Kampala, Uganda
East Africa
Tel. +256 772 879045
Email:

--- On **Fri, 14/11/08, Brummett, Robert G.** *
 --- On Fri, 14/11/08, Brummett, Robert G.
 --- On Fri, 14/11/08, Brummett, Robert G. <*

From: Brummett, Robert G. <brummettr@tamu.edu> Subject: RE: Waxy maize and sorghum germplasm

To:

Cc: wlr@tamu.edu, "Hurley, Janie C." <JHurley@tamu.edu>, "Schuerman,

Peter L." <PSchuerman@tamu.edu>, "Brummett, Robert G."

<brummettr@tamu.edu>

Date: Friday, 14 November, 2008, 5:47 PM

Alexander,

I'll be glad to help with the material transfer agreement request. To begin the process, we need more information about your request.

The attached Material Request form will provide that information. Please complete it and return it via email (mta@tamu.edu) or fax to my attention.

Thank you for your time, and please let me know if you have any questions about the form.

Best regards,

Robert

Robert Brummett,

Licensing Associate

The Texas A&M University System

Office of Technology Commercialization

3369 TAMU

1700 Research Parkway, Suite 250

College Station, TX 77845

(979) 862-3002 direct

(979) 204-0766 cell

(979) 847-8682 office

(979) 845-1402 fax

brummettr@tamu.edu

http://technology.tamu.edu

From: Hurley, Janie C.

Sent: Friday, November 14, 2008 4:37 PM

To: Brummett, Robert G.

Subject: FW: Waxy maize and sorghum germplasm
Robert,
If Peter hasn't already asked you, could you please go ahead and plan on contacting this person to get a material request form completed?
Thanks,
Janie
From: Bill Rooney [mailto:wlr@tamu.edu] Sent: Friday, November 14, 2008 8:35 AM To: Cc: Schuerman, Peter L.; Hurley, Janie C. Subject: RE: Waxy maize and sorghum germplasm
Alex:
I take it from your e-mail that you would like to have MORE than just the publicly available material.
That would be approximately 15-20 lines (the list is being developed), but I want to put you in contact with Peter Schuerman and Janie Hurley to start the transfer agreement.
You will need to tell them exactly what you will use this material for.
regards,
bill

Dr. William L. Rooney

Professor, Sorghum Breeding and Genetics

Chair, Plant Release Committee

Texas A&M University

College Station, Texas 77843-2474

979 845 2151

-----Original Message-----

From: alex junior

Sent: Friday, October 31, 2008 1:38 PM

To: Bill Rooney **Cc:** Patrick Okori

Subject: RE: Waxy maize and sorghum germplasm

Dear Dr. Rooney,

I have discussed your proposal of a transfer agreement with my Ph.D advisor Dr. Patrick Okori. I would be grateful if you could let us know of the proceedures involved in writing the transfer agreement so we can access the materials you can avail us. Dr. Patrick Okori's email address is

You can write directly to him or copy him in our correspondences.

Looking forward to your response. Thank you,

Alexander.

--- On **Fri, 10/10/08, Bill Rooney** *<wlr@tamu.edu>* wrote:

From: Bill Rooney <wlr@tamu.edu>

Subject: RE: Waxy maize and sorghum germplasm

To:

Date: Friday, 10 October, 2008, 11:06 AM

Alexander:

We do have some waxy genotypes, some that are freely available (2 seed parents and 1 pollinator parent) and some that are proprietary in our program. Not all will be resistant to all of the diseases that you list but they will generally produce, if adaptation is correct.

If you only need a few, then I would advise the acquisition of the freely available material. If you need more then we will have write a transfer

agreement. regards, bill Dr. William L. Rooney Professor, Sorghum Breeding and Genetics Chair, Plant Release Committee Texas A&M University College Station, Texas 77843-2474 979 845 2151 ----Original Message----From: alex junior Sent: Monday, October 06, 2008 2:28 PM **To:** wlr@tamu.edu Subject: Waxy maize and sorghum germplasm Dear Dr. Rooney, I would like to enquire from you about the availability of some waxy germplasm that you have worked with in your breeding programmes. My names are Alexander Bombom a postgraduate student

My names are Alexander Bombom a postgraduate student at Makerere University, Uganda pursuing a Ph.D in plant breeding and biotechnology. I am looking at the development of marker assisted selection systems for breeding waxy sorghum and maize hybrids in Uganda and identification of heterotic groups among the local germplasm as part of the study.

I would particularly be interested in B- and R- lines of waxy genotype, tropical adaptation, with good resistance to anthracnose, rust, turcicum leaf blight, and head blight.

Please let me know of how I can access this germplasm should it be available for academic research purposes. I should be grateful for any assistance rendered to me in this regard.

Sincerely,

Bombom Alexander,

Ph.D candidate Department of Crop Science, Makerere University, Kampala, Uganda. From: <u>Jorge A da Silva</u>

To: McCutchen, Bill; Bill L Rooney

Cc: <u>Baltensperger, David</u>; <u>Adam Helms</u>; <u>Avant, Bob</u>; J. <u>Michael Gould</u>; <u>Schuerman, Peter L.</u>

Subject: Re: Request

Date: Monday, November 17, 2008 12:08:14 PM

Attachments: Miscane Sorgane daSilva.ppt

Bill,

Sorry for being late. I was in the field until now. Here it goes. Jorge

>>> "McCutchen, Bill" <bmccutchen@tamu.edu> 11/15/2008 1:16 PM >>> Bill and Jorge,

Can you forward Bob and/or me a FEW'/KEY slides on your sorcane, miscane and wide hybridization RD?

We have an impromptu meeting early Monday morning with the Lead for DAS bioenergy/biomass feedstocks.

Thanks much and sorry for last minute requests.

Bill

From: Robert Harris
To: Peter Schuerman

Cc: <u>Lloyd Rooney</u>; <u>Bill Rooney</u>; <u>Peter B. Harris</u>

Subject: brief bio

Date: Saturday, November 15, 2008 5:50:14 PM

Attachments: Bob"s Career Highlights.doc

You asked me for a brief bio and I called it career highlights. If this is OK, just use it.

Bob

From: Brummett, Robert G.

To:

Cc: "wlr@tamu.edu"; Hurley, Janie C.; Schuerman, Peter L.; Brummett, Robert G.

Subject: RE: Waxy maize and sorghum germplasm

Date: Friday, November 14, 2008 4:47:57 PM

Attachments: Material Request Form r1-2.doc

Alexander,

I'll be glad to help with the material transfer agreement request. To begin the process, we need more information about your request.

The attached Material Request form will provide that information. Please complete it and return it via email (mta@tamu.edu) or fax to my attention.

Thank you for your time, and please let me know if you have any questions about the form.

Best regards, Robert

Robert Brummett,
Licensing Associate
The Texas A&M University System
Office of Technology Commercialization
3369 TAMU
1700 Research Parkway, Suite 250
College Station, TX 77845
(979) 862-3002 direct
(979) 204-0766 cell
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brummettr@tamu.edu
http://technology.tamu.edu

From: Hurley, Janie C.

Sent: Friday, November 14, 2008 4:37 PM

To: Brummett, Robert G.

Subject: FW: Waxy maize and sorghum germplasm

Robert,

If Peter hasn't already asked you, could you please go ahead and plan on contacting this person to get a material request form completed?

Thanks,

Janie

From: Bill Rooney [mailto:wlr@tamu.edu] Sent: Friday, November 14, 2008 8:35 AM

To:

Cc: Schuerman, Peter L.; Hurley, Janie C.

Subject: RE: Waxy maize and sorghum germplasm

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That would be approximately 15-20 lines (the list is being developed), but I want to put you in contact with Peter Schuerman and Janie Hurley to start the transfer agreement.

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regards,

bill

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You can write directly to him or copy him in our

correspondences.

Looking forward to your response.

Thank you,

Alexander.

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Date: Friday, 10 October, 2008, 11:06 AM

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Please let me know of how I can access this germplasm should it be available for academic research purposes. I should be grateful for any assistance rendered to me in this regard.

Sincerely,

Bombom Alexander, Ph.D candidate Department of Crop Science, Makerere University, Kampala, Uganda. From: <u>Steve Brown</u>

To: <u>Brummett, Robert G.</u>; <u>Schuerman, Peter L.</u>; <u>Bill L Rooney</u>

Cc: Gary C Peterson

Subject: RE: Emailing: Tx lines sorghum

Date: Tuesday, November 11, 2008 9:42:26 PM

Attachments: <u>Steve Brown1.vcf</u>

Thanks--I'll give you a call when I get back.

Steve Brown Program Director Texas Foundation Seed Service Email: rsbrown@ag.tamu.edu PH: 940-552-6226

website http://tfss.tamu.edu

FAX:940-552-5524

>>> "Brummett, Robert G." <brummettr@tamu.edu> 11/11/2008 9:33 PM >>> Thanks Steve,

I tried to call Dr. Crum this afternoon. He wasn't in, but I was told he was going out of town tomorrow and that email was probably the best way to contact him. I emailed him the Material Request form.

I'll let you know when I hear back from him.

-Robert

Robert Brummett Licensing Associate The Texas A&M University System Office of Technology Commercialization 1700 Research Parkway, Suite 250 College Station, TX 77845

Phone: (979) 847-8682 Fax: (979) 845-1402 Mobile: (979) 204-0766 brummettr@tamu.edu http://otc.tamu.edu

From: Steve Brown [mailto:rsbrown@ag.tamu.edu]

Sent: Tue 11/11/2008 6:18 PM

To: Schuerman, Peter L.; Bill L Rooney Cc: Brummett, Robert G.; Gary C Peterson Subject: RE: Emailing: Tx lines sorghum

Peter/Robert

Let me get back in the office but I think that we have executed other non-exclusive agreements on some of these lines.

Thanks,

Steve Brown Program Director Texas Foundation Seed Service

Email: rsbrown@ag.tamu.edu PH: 940-552-6226 website http://tfss.tamu.edu/ FAX:940-552-5524
>>> "Schuerman, Peter L." <pschuerman@tamu.edu> 11/11/2008 2:00 PM >>> Bill, thanks. Will do.</pschuerman@tamu.edu>
Steve, Gary: let us know if there are any special considerations we should be aware of with regard to this material. Robert will be handling.
Best regards,
-Peter
From: Bill Rooney [mailto:wlr@tamu.edu] Sent: Tuesday, November 11, 2008 1:50 PM To: Schuerman, Peter L. Cc: 'Steve Brown' Subject: FW: Emailing: Tx lines sorghum
Peter:
Dr. Bill Crum contacted me on behalf of a third party to acquire the lines listed in the attached file. The sis from my program; are from Gary Peterson's program. All have been formally released.
Can you establish contact and the MTA development?
regards,
bill

Dr. William L. Rooney

Professor, Sorghum Breeding and Genetics

Chair, Plant Release Committee

Texas A&M University

College Station, Texas 77843-2474

979 845 2151

-----Original Message-----

From: Bill Crum

Sent: Monday, November 10, 2008 9:15 AM

To: William L Rooney

Subject: Fw: Emailing: Tx lines sorghum

Bill Rooney

I sent this request to Dr Peterson before I visited with Bruce Maunder. Bruce suggested I should contact you so I am resending the request. Could you help direct my request.

I appreciate your help.

Bill Crum

815-756-2329

---- Original Message -----

From: Bill Crum

To: Dr. Gary Peterson < mailto:g-peterson1@tamu.edu>

Sent: Thursday, November 06, 2008 11:38 AM

Subject: Emailing: Tx lines sorghum

Dr Peterson

I am an Ex Dekalb Genetics Plant breeder, one of our international affiliates was a French Company RAGT. They have requested that I check the availability of 4 sorghum lines listed in the exel file. I

understand that a MAT agreement is required for the 3 A&B lines. Could you review this request and give me instructions as the proper way to proceed.

Thanks for your help/suggestions

Bill Crum

815 756-2329

From: Simpson, Shay

To: McCutchen, Bill; Avant, Bob; Schuerman, Peter L.; Spurlin, Shayna; Bridges, Brenda; Adam Helms

(ahelms@tamu.edu); Nelson, Michelle; Howell, Bill

Subject: Chevron Exec Summaries - unsuccessful Date: Tuesday, November 11, 2008 7:22:59 PM

Attachments: <u>Draft email - Unsuccessful.doc</u>

Importance: High

Please read through each of the draft emails (attached as one document) to help with feedback to the PI's who's executive summaries did not get selected by CTV for full proposal development. Bill Howell, please note that Michelle wants a few of the PI's (Yuan and Samocha) to collaborate with Dept Chemistry in the near future to ppossibly develop a more viable proposal. I want to get these out soon – tomorrow?

Thanks, Shay

Shay L. Simpson
Project Manager, Bioenergy Program
Texas AgriLife Research
979-845-6315 Tel
shay-simpson@tamu.edu

 From:
 Steve Brown

 To:
 Schuerman, Peter L.; Bill L Rooney

Cc: Brummett, Robert G.; Gary C Peterson
Subject: RE: Emailing: Tx lines sorghum

Date: Tuesday, November 11, 2008 6:19:57 PM

Attachments: <u>Steve Brown1.vcf</u>

Peter/Robert

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Steve, Gary: let us know if there are any special considerations we should be aware of with regard to this material. Robert will be handling.

Best regards,

-Peter

From: Bill Rooney [mailto:wlr@tamu.edu]
Sent: Tuesday, November 11, 2008 1:50 PM

To: Schuerman, Peter L. Cc: 'Steve Brown'

CC. Sieve Diowii

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815-756-2329

---- Original Message -----

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Dr Peterson

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Thanks for your help/suggestions

Bill Crum

815 756-2329

From: Schuerman, Peter L.

To: "Bill Rooney"

Cc: "Steve Brown"; "Gary C Peterson"; Brummett, Robert G.; Schuerman, Peter L.

Subject: RE: Emailing: Tx lines sorghum

Date: Tuesday, November 11, 2008 2:01:27 PM

Attachments: <u>Tx lines sorghum.xls</u>

Bill, thanks. Will do.

Steve, Gary: let us know if there are any special considerations we should be aware of with regard to this material. Robert will be handling.

Best regards,

-Peter

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To: "Bill Rooney"

Cc: "Steve Brown"; "Gary C Peterson"; Brummett, Robert G.; Schuerman, Peter L.

Subject: RE: Emailing: Tx lines sorghum

Date: Tuesday, November 11, 2008 2:01:00 PM

Attachments: <u>Tx lines sorghum.xls</u>

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Best regards,

-Peter

From: Bill Rooney [mailto:wlr@tamu.edu]
Sent: Tuesday, November 11, 2008 1:50 PM

To: Schuerman, Peter L. **Cc:** 'Steve Brown'

Subject: FW: Emailing: Tx lines sorghum

Peter:

Dr. Bill Crum contacted me on behalf of a third party to acquire the lines listed in the attached file. The sisted in the attached are from Gary Peterson's program. All have been formally released.

Can you establish contact and the MTA development?

regards,

bill

Dr. William L. Rooney
Professor, Sorghum Breeding and Genetics
Chair, Plant Release Committee
Texas A&M University
College Station, Texas 77843-2474
979 845 2151
-----Original Message-----

From: Bill Crum

Sent: Monday, November 10, 2008 9:15 AM

To: William L Rooney

Subject: Fw: Emailing: Tx lines sorghum

Bill Rooney

I sent this request to Dr Peterson before I visited with Bruce Maunder. Bruce suggested I should contact you so I am resending the request. Could you help direct my request.

I appreciate your help.

Bill Crum 815-756-2329 ---- Original Message ----

From: Bill Crum

To: Dr. Gary Peterson

Sent: Thursday, November 06, 2008 11:38 AM

Subject: Emailing: Tx lines sorghum

Dr Peterson

I am an Ex Dekalb Genetics Plant breeder, one of our international affiliates was a French Company RAGT. They have requested that I check the availability of 4 sorghum lines listed in the exel file. I understand that a MAT agreement is required for the 3 A&B lines. Could you review this request and give me instructions as the proper way to proceed.

Thanks for your help/suggestions

Bill Crum 815 756-2329
 From:
 Bill Rooney

 To:
 Schuerman, Peter L.

 Cc:
 "Steve Brown"

Subject: FW: Emailing: Tx lines sorghum

Date: Tuesday, November 11, 2008 1:50:25 PM

Attachments: <u>Tx lines sorghum.xls</u>

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